

08/02/01  
J1003 U.S. PTO

Docket No.: 026592.114-US01  
(PATENT)

J1011 U.S. PTO  
09/09/01  
08/02/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Richard Foster

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: August 2, 2001

Examiner: Not Yet Assigned

For: METHOD FOR ANALYZING THE  
PERFORMANCE OF SECURITIES

TRANSMITTAL LETTER

Attn: Box Patent Applications

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced  
Patent Application:

1. Application Data Sheet;
2. Utility Patent Application Transmittal (Form PTO/SB/05);
3. Fee Transmittal;
4. Utility Patent Application comprising: 26 pages of specification; 21 pages of claims (80 claims); a one page abstract; and 13 sheets of drawings (Figs. 1-13);
5. Check No. 283195 for \$1,798 to cover: \$710 basic filing fee and \$1,088 additional claims fee; and
6. Two return receipt postcards.

09919910-080201

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Order No. 026592.114-US01. A duplicate copy of this paper is enclosed.

Dated: August 2, 2001

Respectfully submitted,

By 

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T02030-01661660

## Utility Patent Application Initial Information Data Sheet

### Inventor Information

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### Correspondence Information

Correspondence Customer Number:: 26853

### Application Information

Title Line One:: Method for Analyzing the Performance of Securities  
Title Line Two::  
Total Drawing Sheets:: 13  
Formal Drawings?: No  
Application Type:: Utility  
Docket Number:: 26592.114-US01

### Representative Information

Registration Number:: 46,278

### Continuity Information

This application is a:: Nonprovisional of Provisional Application  
>Application One:: 60/295,584  
Filing Date:: June 5, 2001

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